

PROFESSIONAL OR ELIGIBLE COUNTER PARTIES

Risk Warning: Forex and CFDs are leveraged products and you may lose all your invested capital. Trading leveraged products carries a high level of risk and may not be suitable for all investors, so please consider your investment objectives, level of experience, financial resources, risk appetite and other relevant circumstances carefully. Please read and understand the risk disclosure policy before entering any transaction with SSC Smart FX Ltd (previously Jin Daocheng Ltd).

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. Between 70%-80% of retail investor accounts lose money when trading CFDs with SSC Smart FX Ltd. You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money. The product offering of SSC Smart FX Ltd is exclusively directed at the experienced, independently acting investor.

Note: The cumulative time in which the verification of the identity of the potential client is completed, must not exceed 15 days from initial contact. It is noted that the initial contact takes place the moment that the client either accepts the terms and conditions or makes his first deposit, whichever comes first.



PROFESSIONAL OR ELIGIBLE COUNTERPARTIES

To apply for a trading account please complete all questions.

PERSONAL PROFILE

FULL NAME:

DATE OF BIRTH:

TITLE:

NATIONALITY:

PASSPORT NUMBER:

PASSPORT EXPIRATION:

GENDER

MARITAL STATUS

DO YOU HAVE MORE THAN ONE CITIZENSHIP?

- YES (PLEASE CLARIFY)
 NO

DO YOU PAY YOUR TAXES AT YOUR COUNTRY OF BIRTH?

- YES
 NO (PLEASE CLARIFY)

ARE YOU A US CITIZEN OR US RESIDENCE FOR TAX PURPOSES?

- YES
 NO

DO YOU CURRENTLY (OR DURING THE LAST 12 MONTHS) HOLD A POLITICAL OFFICE, OR HAVE TIES TO A POLITICALLY ACTIVE PERSON?

- YES
 NO

TAX IDENTIFICATION NUMBER:

EDUCATIONAL QUALIFICATION (PLEASE INDICATE YOUR HIGHEST EDUCATION LEVEL):

- TERTIARY (DIPLOMA, DEGREE & ABOVE) SECONDARY (O, A LEVELS, VOCATIONAL INSTITUTE) PRIMARY AND BELOW

DO YOU HAVE A DIPLOMA OR HIGHER QUALIFICATION IN THE FOLLOWING? (PLEASE SELECT ONLY ONE)

- ACCOUNTANCY BUSINESS MANAGEMENT FINANCE ACTUARIAL SCIENCE CAPITAL MARKETS
 BUSINESS FINANCIAL ENGINEERING COMMERCE FINANCIAL PLANNING BUSINESS ADMINISTRATION
 INSURANCE COMPUTATIONAL FINANCE ECONOMICS BUSINESS STUDIES

PLEASE INDICATE NAME OF COLLEGE :

DO YOU HAVE THE FOLLOWING PROFESSIONAL FINANCE-RELATED QUALIFICATION? (PLEASE SELECT ONLY ONE)

- ASSOCIATE FINANCIAL PLANNER (AFP) CERTIFIED FINANCIAL PLANNER (CFP)
 ASSOCIATE WEALTH PLANNER (AWP) CERTIFIED FINANCIAL TECHNICIAN (CFTE)
 CHARTERED ALTERNATIVE INVESTMENT ANALYST (CAIA) CERTIFIED PUBLIC ACCOUNTANT (CPA/ACCA)
 CHARTERED FINANCIAL ANALYST (CFA) FINANCIAL RISK MANAGER (FRM)
 CHARTERED FINANCIAL CONSULTANT (CHFC) MODULE 6A (CMFAS EXAM)
 CHARTERED INSTITUTE OF SECURITIES & INVESTMENT (CISI) NONE/ OTHER

NATURE OF YOUR BUSINESS WITH SSC SMART FX:

- HEDGING INTRADAY TRADING INVESTMENTS MANAGE RISKS SPECULATIVE OTHER

ORIGIN OF FUNDS:

- SAVINGS SALARY PENSION INHERITANCE TRADING PROFITS OTHER

INCOME SOURCE:

- EMPLOYEE SALARY SELF EMPLOYED INVESTMENT DIVIDENDS PENSION SAVINGS OTHER

WHICH METHOD WILL YOU USE TO DEPOSIT FUNDS WITH US?

- BANK WIRE TRANSFER SKRILL VISA/ MASTERCARD/ AMEX OTHER

MONTHLY EXPECTED TRADING VOLUME(LOT):

- BELOW 50 LOTS ABOVE 50 LOTS



PERSONAL PROFILE - CONTINUED

ANTICIPATED ACCOUNT TURNOVER:

0-5K 5K-10K 10K-20K 20K-40K 40K-50K 50K-100K MORE THAN 100K

ADDRESS:

HOUSE NO.:

CITY/TOWN/VILLAGE:

ZIP CODE / POSTAL CODE

COUNTRY

STATUS OF EMPLOYMENT

INDUSTRY

NAME OF EMPLOYER

ANNUAL INCOME

ESTIMATED NET WORTH

EMAIL ADDRESS & TEL. NUMBER:

BANK ACCOUNT INFORMATION

NAME OF ACCOUNT HOLDER:

BANK NAME:

BANK ADDRESS:

ACCOUNT NUMBER/ IBAN:

SWIFT/ ABA:

TRADING EXPERIENCE

DO YOU HAVE TRADING EXPERIENCE?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

HAVE YOU EVER WORKED IN THE FINANCIAL INDUSTRY?

YES NO

HAVE YOU HAD ANY DEMO ACCOUNTS IN THE PAST?

NO YES, SLIGHTLY USED YES, VERY FAMILIAR

DO YOU HAVE TRADING EXPERIENCE IN FOREX?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN OPTIONS?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN EQUITIES?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN OTC DERIVATIVES?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN FUTURES?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN INDICES?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

DO YOU HAVE TRADING EXPERIENCE IN PRECIOUS METALS?

NO EXPERIENCE UP TO 1 YEAR 1-3 YEARS MORE THAN 3 YEARS

KNOWLEDGE QUESTIONS

FOREX AND CFDS (CONTRACTS FOR DIFFERENCE) ARE FINANCIAL INSTRUMENTS WHICH ARE:

SPECULATIVE, COMPLEX, RISKY COMPLEX AND RISKY NON-RISKY

AS WE KNOW, CURRENCY PRICES FLUCTUATE CONSTANTLY. WHAT RISK-RELATED FACTORS SHOULD TRADERS TAKE INTO CONSIDERATION DURING THEIR TRADING ACTIVITY?

COUNTRY SPECIFICS AND ITS ECONOMY POLITICAL STABILITY INTERESTS RATES AND UNEMPLOYMENT RATE ALL OF THE ABOVE NONE OF THE ABOVE



COUNTER PARTY RISK RESEARCH AND DEVELOPMENT RISK REPUTATIONAL RISK

WHAT ACTIONS CAN YOU TAKE IN ORDER TO PREVENT SEVERE LOSSES?

PLACE STOP LOSS USE LOWER LEVERAGE PLACE TAKE PROFIT

WHAT KIND OF RISK MITIGATION TOOLS AND KNOWLEDGE CAN A TRADER HAVE TO PROTECT HIS INVESTMENT?

STOP LOSS AND TAKE PROFIT ORDERS MONITOR ECONOMIC NEWS CONTINUOUSLY DEVELOP THEIR RELEVANT KNOWLEDGE AND READ EDUCATIONAL MATERIAL ALL THE ABOVE

THE MARKET MOVES BY 2%. TRADING WITH WHICH LEVERAGE WOULD LEAD TO THE LARGEST POTENTIAL PROFIT OR LOSS?

1:500 1:100 1:50 LOSS WOULD BE THE SAME

WHAT WOULD BE THE REQUIRED MARGIN FOR 1 LOT (100,000) EURUSD, IF YOUR LEVERAGE IS 1:100 ?

500 EUR 1000 EUR 2000 EUR

CLIENT CATEGORIZATION

PLEASE CHOOSE ONE

PROFESSIONAL ELIGIBLE COUNTERPARTY

PLEASE NOTE THAT TO BE CLASSIFIED AS A PROFESSIONAL CLIENT THE 3 FOLLOWING CRITERIAS SHOULD BE SATISFIED:

1. Evidence proving that you carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous four quarters. (Statement showing your trading history)
2. Evidence proving the size of your financial instrument portfolio, defined as including cash deposits and financial instruments exceeds 500 000 euro(bank statement indicating the required funds)
3. Evidence proving that you work or worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged. (referenced letter by the company you were or are employed)
Kindly attach documents which prove that two out of the three criteria are satisfied.

Additionally, the categorization as Retail Client, Professional Client or Eligible Counterparty will be clarified after the completion of this process and you will be notified by email once your account opening is approved. This process can take up to 72 hours.

TERMS AND CONDITIONS

- I have read, agree and accept the [Conflict Of Interest Policy](#).
- I have read, agree and accept the [Complaint Handling Policy](#).
- I have read, agree and accept the [ICF Policy](#).
- I have read, agree and accept the [Order Execution Policy](#).
- I have read, agree and accept the [Risk Disclosure](#).
- I have read, agree and accept the [Privacy Policy](#).
- I have read, agree and accept the [Terms And Conditions](#).
- I have read, agree and accept the [Client Categorization Policy](#).
- I hereby declare that I trade solely for my own account and with own funds.
- I have adequate experience in trading derivative financial instruments and/or investment products.
- I hereby declare that all statements made by myself in conjunction with opening an account with SSC Smart FX Ltd are true and complete. I confirm that the documents that will be submitted have neither been tampered with nor digitally edited.
- I hereby confirm and acknowledge that the information provided above is true and accurate, and I further confirm and acknowledge that SSC Smart FX Ltd will rely on this information in the opening of my trading account.
- The client acknowledges that he/she may from time to time be contacted whether by phone or email, by the Company. The communication may include event invitations, announcements, news articles, blog posts, or other information that is relevant to the client.

DOCUMENTS

The following documents must accompany the above application. All of the below documentation must be certified as true copies :-
Passport/Identification and Proof of address of the Applicant

DECLARATION AND SIGNATURE

I hereby declare that all of the information submitted by me is true and accurate.

By signing and submitting the Professional or Eligible Categories Account Application, you have read and accepted SSC Smart FX Ltd (herein after, the "Firm") Terms and Conditions, Order Execution Policy, Risk Disclaimer, Privacy Policy and declared that the information contained in this form is true and accurate and that any photocopies submitted are identical with the corresponding originals. Should any change occur which alters any of the facts depicted in any of the forms submitted; the contracting partner is obligated to submit to the Firm immediately the said changes.

Client's Signature

Date